



Form PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 31716US1	SERIAL NO. 09/904,993
INFORMATION DISCLOSURE CITATION BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT: Patricia Preikschat, et al.	
		FILING DATE: July 13, 2001	GROUP ART UNIT: 1775

U.S. PATENT DOCUMENTS							
Examiner Initial		Document No.	Date	Name	Class	Subclass	Filing Date if Appropriate
<del>MRK</del>	A	6,375,726	4/2002	Matzdorf et al.	148	247	
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GROUP 1785

FOREIGN PATENT DOCUMENTS							
	Document No.	Date	Country	Class	Subclass	Translation	
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)							
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Examiner: *Robert R. Koehler* Date Considered *January 15, 2004*

\*Examiner: Initial if reference considered, regardless of whether citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

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## U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass	Filing Date If Appropriate
RK	A	4,298,404	11/1981	Greene	148	247	
RK	B	4,444,601	04/1984	Greene	428	658	
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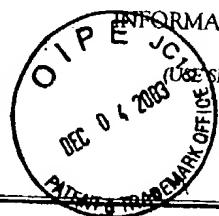
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		Document No.	Date	Country	Class	Subclass	Translation
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RK	K	Arthur Schaier, First Request For Reexamination of U.S. Patent No. 6,287,704 (granted as re-exam serial No. 90/006,211), Request filed in U.S. Patent Office on January 25, 2002, pages 1-118.
RK	L	Arthur Schaier, Submission of Prior Art Under 37 CFR 1.501 filed in re-exam proceeding serial No. 90/006,211, filed in U.S. Patent Office on March 11, 2002, pages 1-4.
RK	M	Patricia Preikschat, Declaration of Patricia Preikschat Under Rule 1.132 filed in re-exam proceeding serial No. 90/006,211, dated December 4, 2002, pages 1-10.
RK	N	Arthur Schaier, Second Request For Reexamination of U.S. Patent No. 6,287,704 (granted as re-exam serial No. 90/006,672), filed in U.S. Patent Office on June 20, 2003, pages 1-25.
RK	O	Sudha Damji, Declaration of Sudha Damji and attached Exhibit A, dated June 18, 2003, (submitted with the second request for reexamination of U.S. Patent No. 6,287,704), filed in re-exam proceeding serial No. 90/006,672 on June 20, 2003, pages 1-33.

Examiner:	<i>Robert R. Kochler</i>	Date Considered
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RRK	A	4,298,404	11/1981	Greene	148	247	
RRK	B	4,444,601	04/1984	Greene	428	658	
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RRK	K	Arthur Schaier, First Request For Reexamination of U.S. Patent No. 6,287,704 (granted as re-exam serial No. 90/006,211), Request filed in U.S. Patent Office on January 25, 2002, pages 1-118.
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RRK	M	Patricia Preikschat, Declaration of Patricia Preikschat Under Rule 1.132 filed in re-exam proceeding serial No. 90/006,211, dated December 4, 2002, pages 1-10.
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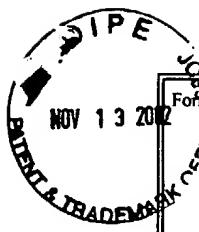
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Examiner: *Robert R. Koehler*

Date Considered

*January 15, 2004*

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Page 1 of 1APPLICANT:  
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PRK	A	4,169,741	10/1979	Lampatzer et al.	—	—	
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PRK	Q	61-587	6/1986 1/1986	JAPAN	—	—	Yes
PRK	O	50-1934	10/1975 1/1975	JAPAN	—	—	Yes
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## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

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Examiner:

Robert R. Koehler

Date Considered

December 17, 2002

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INFORMATION DISCLOSURE CITATION BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY) Page 1 of 2				APPLICANT: Patricia Preikschat et al.	
				FILING DATE: July 13, 2001	GROUP ART UNIT: 1775

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PRK	A	3,787,326	1/1974	Deyrup	—	—	
PRK	B	3,900,689	8/1975	Deyrup	—	—	
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PRK	D	0 337 411 B1	10/1989	EPO	—	—	English Claims
PRK	E	2 144 773 A	3/1985	GB	—	—	English Text
PRK	F	32 13 384 A1	12/1982	DE	—	—	no
—	N				—	—	

PRK	G	Dr.-Ing. Uka Handreg and Dipl.-Ing. Stefen Piepenstock, <i>PRÜFBERICHT - UNTERSUCHUNGEN AN BLAUCHROMATIERUNGEN</i> , January 8, 2002, pp. 1-4.
PRK	H	Dipl.-Ing. Britta Schlüter, <i>PRÜFBERICHT - UNTERSUCHUNGEN AN BLAUCHROMATIERUNGEN</i> , June 19, 2002, pp. 1-3.
PRK	I	Von W. Stechelbach, <i>CHROMATIERUNGSTECHNIK AN VERZINKTEN OBERFLÄCHEN</i> , Galvanotechnik, D-7968 Saulgau, 82 (1991) Nr. 8, pp. 2669-2672, (no month given).
PRK	J	ZUSAMMENSTELLUNG DER EXPERIMENTELLEN DATEN, July 17, 2002, 9 pages.
PRK	K	SurTec® 660 DREIWERTIGE BLAUCHROMATIERUNG, SurTec GmbH, February 1994.
PRK	L	SurTec® 662 DREIWERTIGE TEMPERBESTÄNDIGE BLAUCHROMATIERUNG, SurTec GmbH, February 1994.

Examiner:	Robert R. Kochle	Date Considered
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INFORMATION DISCLOSURE CITATION BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY) Page 2 of 2				APPLICANT: Patricia Preikschat et al.	
				FILING DATE: July 13, 2001	GROUP ART UNIT: 1775

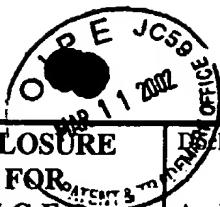
Examiner Initial	Document No.	Date	Name	Class	Subclass	Filing Date if Appropriate
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	Document No.	Date	Country	Class	Subclass	Translation
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<i>PRK</i>	H	SurTec® 664 DREIWERTIGE, TEMPERBESTÄNDIGE BLAUCHROMATIERUNG MIT EISENINHIBITOR, SurTec GmbH, February 1994.
<i>PRK</i>	I	Optische Defizite der Surtec Beispiele 2 und 3 aus dem Patent EP 0 907 762, at least as early as July 17, 2002.
<i>PRK</i>	J	Chemisch erzeugte Schutzschichten, pp. 470-473, at least as early as July 22, 2002.
<i>PRK</i>	K	COVENTYA, INTERNAL NOTE, Subject: Opposition au brevet EP 907762, July 1, 2002, 4 pages.
<i>PRK</i>	L	Peter Hülser, et al., CHROMITIERUNG, Mo Metalloberfläche Beschichten von Metall Und Kunststoff, October 1996, 5 pages.
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Examiner: *Patricia Preikschat*Date Considered  
*October 17, 2002*

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<b>INFORMATION DISCLOSURE CITATION FORM FOR PROTEST UNDER 37 C.F.R. 1.291(a) (FORM PTO-1449)</b>			cket No.: 2156-417	Serial No.: 09/904,993			
			Applicant: Prekschat, et al.	Examiner: Robert Koehler			
			Filing Date: July 13, 2001	Art Unit: 1775			
<b>U.S. PATENTS</b>							
Initials	Patent Number	Issue Date	Name		Class	Sub-class	Filing date
<b>RECEIVED</b> <b>MAR 15 2002</b> <b>TC 1700</b>							
<b>FOREIGN PATENT DOCUMENTS</b>							
Initials	Document Number	Date	Country	Name		Translation? Yes/No/n/a	
<i>DKR</i>	0 034 040 A1	09/19/81	Europe	BNF Metals Technology Centre		N/A	
<b>OTHER DOCUMENTS (Title, Author, Date, Pages, Etc., if known)</b>							
Examiner's Signature: <i>Robert R. Koehler</i>				Date Considered <i>April 21, 2002</i>			
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INFORMATION DISCLOSURE  
CITATION FORM FOR  
PROTEST UNDER 37 C.F.R.  
1.291(a)  
(FORM PTO-1449)  
(Substitute)

Docket No.: 2156-417

Serial No.: 09/904,993

Applicant: Preikschat, et al.

Examiner: Robert Koehler

Filing Date: July 13, 2001

Art Unit: 1775

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RRK	5,407,749	4/18/95	Bishop			
RRK	5,393,354	2/28/95	Bishop			
RRK	4,349,392	9/14/82	Huvar			
RRK	4,578,122	3/25/86	Crotty			
RRK	3,843,430	10/22/74	Kinder			

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RRK	GB-2,097,024-A	10/27/82	Great Britain	DaFonte, et al.	N/A

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RRK	"Chromate Coating From Trivalent Solution," Products Finishing, August, 1983, pp. 64-65.
RRK	Barnes, et al., "Non-Chromate Passivation Treatments For Zinc," Transactions of the Institute of Metal Finishing, Summer, 1982, pp. 45-48, vol. 60.
RRK	Klos, "Clear Chromates: Theory and Practice," Products Finishing, June, 1988, pp. 72-78.

Examiner's Signature: *Robert R. Koehler*Date Considered: *April 21, 2002*

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RRK	E	2,563,431	8/1951	F. P. Spruance, Jr.			
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RRK	R	14 61 244	1/1977	GB	—	—	—

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RRK	S	“Some Metal Derivatives of Azo and Azomethine Dyes”, Journal of the American Chemical Society, Vol. 74, No. 14, July 20, 1952, Pages 3461 and 3509-3512					

Examiner:	<i>Robert R. Koehle</i>	Date Considered
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RRK	A	3932198	11/1976	G. Schneider			
RRK	B	4126490	11/1978	K. Aoki			
RRK	C	4141758	2/1979	W. C. Glassman et al.			
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RRK	E	4263059	4/1981	D. J. Guhde et al.			
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RRK	H	4359346	11/1982	B. DaFonte, Jr.			
RRK	I	4359347	11/1982	B. DaFonte, Jr.			
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RRK	R	30 38 699 A1	7/1981	DE	—	—	—

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RRK	S	Database WPI, Section Ch, Week 9402, Derwent Publications Ltd., London, GB; Class E31, AN 94-014365, XP002038536 & SU 1 781 316 A (Magn Mining Metal Inst), 15 December 1992, siehe Zusammenfussung.
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PRK	B	4,801,337	1/1989	Higgins	148	267	
PRK	C	5,091,023	2/1992	K. Saeki et al.	1	1	
PRK	D	5,141,575	8/1992	N. Yoshitake et al.	1	1	
PRK	E	5,230,750	7/1993	Y. Shindou et al.	1	1	
PRK	F	5,368,655	11/1994	Klos	148	250	
PRK	G	5,393,354	2/1995	C. V. Bishop	1	1	
PRK	H	5,407,749	4/1995	C. V. Bishop	1	1	
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PRK	N	34 23 990 A1	1/1985	DE	1	1	
PRK	P	0 337 411 A2	10/1989	EPO	1	1	
PRK	Q	32 13 384 C2	1/1991	DE	1	1	
PRK	R	1781316 A1	12/1992	RUSSIA	1	1	
PRK	S	41 35 524 A1	4/1993	DE	1	1	

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PRK		Robert R. Koeble					
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QPK	A 5,876,517	3/1999	Jeannier	148	264	
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## OTHER REFERENCES (Include author, title, date, pertinent pages, etc.)

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